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December 20, 2001

Commissioner for Patents  
Washington, DC 20231

CERTIFICATE OF MAILING  
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date below:

December 20, 2001

Date

*Smith*  
Name

RE: SN 09/974,747 entitled "MODELESS EVENT-DRIVEN DATA TRANSFORMATION" –  
Paul Dingman, et al.  
(Our File No. 021857.000007)

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed October 29, 2001, enclosed for filing in the above-referenced patent application are the following:

- (1) A copy of the Notice to File Missing Parts of Nonprovisional Application Filed Under 37 C.F.R. § 1.53(b) – Filing Date Granted;
- (2) Applicant's check no. 15195 in the amount of \$435.00 as required by the Notice to File Missing Parts of Nonprovisional Application Filed Under 37 C.F.R. § 1.53(b);
- (3) Inventors' Declaration;
- (4) Assignment;
- (5) Election Under 37 C.F.R. §§ 3.71 and 3.73 and Power of Attorney; and
- (6) Postcard receipt.

Should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to the enclosed materials, the Commissioner is hereby authorized to deduct said fees from Thompson & Knight, L.L.P.'s Deposit Account No. 20-0821/021857.000007.

Please date stamp and return the enclosed postcard evidencing receipt of these materials.

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ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, do hereby:

SELL, ASSIGN AND TRANSFER to **DATA JUNCTION CORPORATION** (the "Assignee"), a Texas corporation, having a place of business at 2201 Northland, Austin, Texas 78756, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in U.S. Patent Application No. 09/974,747, which has been filed on **October 10, 2001** and is entitled "**MODELESS EVENT-DRIVEN DATA TRANSFORMATION**", such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

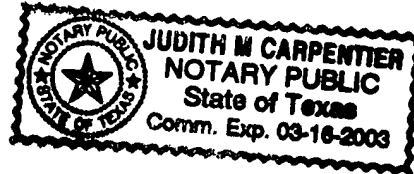
COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

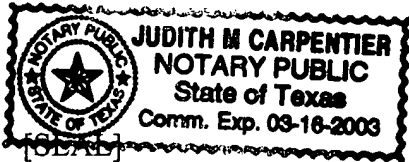
Signature:   
Name: PAUL C. DINGMAN

Date: 12-11-2001


STATE OF TX )  
COUNTY OF Williamson )



BEFORE ME, the undersigned authority, on this 11th day of December, 2001, personally appeared PAUL C. DINGMAN, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.



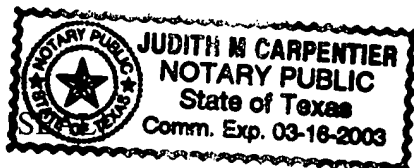
  
Notary or Consular Officer

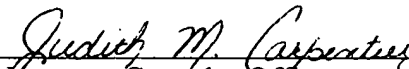
Signature:   
Name: KATHRYN E. VAN DYKEN

Date: 12/11/01

STATE OF TX )  
COUNTY OF Williamson )

BEFORE ME, the undersigned authority, on this 11th day of December, 2001, personally appeared KATHRYN E. VAN DYKEN, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that she executed the same of her own free will for the purposes and consideration therein expressed.



  
Notary or Consular Officer

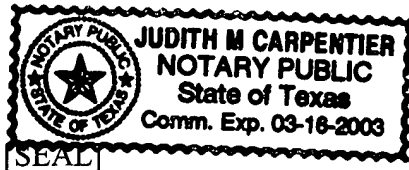
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Signature: William G. Bunton  
Name: WILLIAM G. BUNTON

Date: 12/11/2001

STATE OF Tx )  
COUNTY OF Williamson )

BEFORE ME, the undersigned authority, on this 11th day of December, 2001, personally appeared WILLIAM G. BUNTON, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.



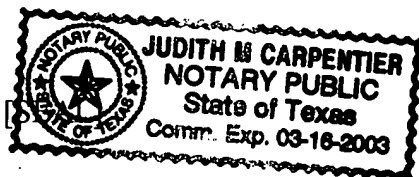
Judith M. Carpenter  
Notary or Consular Officer

Signature: Thomas Landrum  
Name: THOMAS LANDRUM

Date: 12/7/2001

STATE OF Tx )  
COUNTY OF Williamson )

BEFORE ME, the undersigned authority, on this 7th day of December, 2001, personally appeared THOMAS LANDRUM, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.



Judith M. Carpenter  
Notary or Consular Officer



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Paul Dingman et al.

Group Art Unit: 2154

Serial No.: 09/974,747

Examiner: Not assigned

Filed: October 10, 2001

Atty. Dkt. No.: 021857.000007

For: MODELESS EVENT-DRIVEN DATA  
TRANSFORMATION

ELECTION UNDER 37 C.F.R. §§ 3.71 AND 3.73  
AND POWER OF ATTORNEY

Commissioner for Patents  
Washington, D.C. 20231

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Sir:

The undersigned, being Assignee of record of the entire interest in the above-identified application by virtue of an assignment recorded in the United States Patent and Trademark Office as set forth below, hereby elects, under 37 C.F.R. § 3.71, to prosecute the application to the exclusion of the inventor.

The Assignee hereby revokes any previous Powers of Attorney and appoints:

Aaron A. Weiss, Reg. No. 46,163; Amber Hatfield, Reg. No. 36,824; H. Lisa Koh, Reg. No. 43,725; William Raman, Reg. No. 29,578; and Charles D. Huston, Reg. No. 31,027;

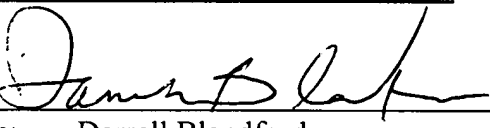
each an attorney or agent of the firm of THOMPSON & KNIGHT L.L.P., as its attorney or agent for so long as they remain with such firm, with full power of substitution and revocation, to prosecute the application, to make alterations and amendments therein, to transact all business in the Patent and Trademark Office in connection therewith, and to receive any Letters Patent, and for one year after issuance of such Letters Patent to file any request for a certificate of correction that may be deemed appropriate.

Pursuant to 37 C.F.R. § 3.73, the undersigned has reviewed the evidentiary documents, specifically the Assignment to **DATA JUNCTION CORPORATION**, referenced below, and certifies that to the best of my knowledge and belief, title remains in the name of the Assignee.

Please direct all communications as follows:

H. Lisa Koh  
THOMPSON & KNIGHT LLP  
98 San Jacinto Blvd. #1200  
Austin, Texas 78701-4081  
(512) 469-6135

ASSIGNEE:  
**DATA JUNCTION CORPORATION**

By:   
Name: Darrell Blandford  
Title: Exec. VP

Date: 12-7-2001

ASSIGNMENT:

- ☒ Concurrently filed  
☐ Previously recorded

Date: \_\_\_\_\_

Reel: \_\_\_\_\_

Frames: \_\_\_\_\_

JAN 22 2002

**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

☐ Declaration Submitted with Initial Filing OR ☒ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number 021857.000007

First Named Inventor Paul Dingman

**COMPLETE IF KNOWN**

Application Number 09/974,747

Filing Date 10/10/2001

Art Unit 2154

Examiner Name Not Assigned

As the below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Modeless Event-Driven Data Transformation

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(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 10/10/2001 as United States Application Number or PCT International

Application Number 09/974,747 and was amended on (MM/DD/YYYY) (if applicable).

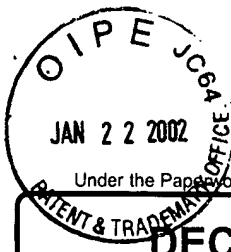
I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.


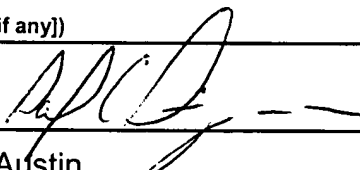
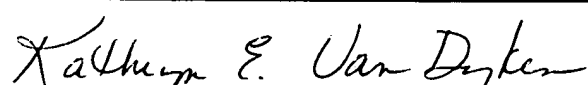
I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

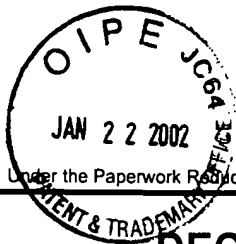
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

**DECLARATION — Utility or Design Patent Application**COPY OF PAPER  
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Direct all correspondence to: <input checked="" type="checkbox"/>		Customer Number or Bar Code Label 		OR <input type="checkbox"/> Correspondence address below	
Name		27644 PATENT & TRADEMARK OFFICE			
Address					
City		State		ZIP	
Country		Telephone		Fax	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.					
NAME OF SOLE OR FIRST INVENTOR :		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])		Family Name or Surname			
Paul C.		Dingman			
Inventor's Signature 		Date 12.11.2001			
Residence: City		TX State		USA Country	
Austin				US Citizenship	
Mailing Address <del>509 Chandler Branch Drive</del> 13123 Canyon View					
City		TX State		78641 ZIP	
Leander				USA Country	
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])		Family Name or Surname			
Kathryn E.		Van Dyken			
Inventor's Signature 		Date 12/11/01			
Residence: City		TX State		USA Country	
Round Rock				US Citizenship	
Mailing Address 1009 Hawkin Court					
City		TX State		78681 ZIP	
Round Rock				USA Country	
<input checked="" type="checkbox"/> Additional inventors are being named on the <u>1</u> supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.					





Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

PTO/SB/02A (10-00)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S)</b> <b>Supplemental Sheet</b> Page <u>1</u> of <u>1</u>
--------------------	---

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name William G.		Family Name Bunton	
Inventor's Signature <i>William G. Bunton</i>		Date 12/11/2001	
Austin Residence: City	TX State	USA Country	US Citizenship
2201 Northland Drive Mailing Address			
Mailing Address			
City Austin	TX State	78756 ZIP	USA Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name Thomas		Family Name Landrum	
Inventor's Signature <i>Tom Landrum</i>		Date 12/7/2001	
Austin Residence: City	TX State	USA Country	US Citizenship
2201 Northland Drive Mailing Address			
Mailing Address			
City Austin	TX State	78756 ZIP	USA Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	ZIP	Country

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Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.